

# DERIVED AND RESIDUAL SUBSPACE DESIGNS

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**ABSTRACT.** A generalization of forming derived and residual designs from  $t$ -designs to subspace designs is proposed. A  $q$ -analog of a theorem by Van Trung, van Leijenhorst and Driessen is proven, stating that if for some (not necessarily realizable) parameter set the derived and residual parameter set are realizable, the same is true for the reduced parameter set.

As a result, we get the existence of several previously unknown subspace designs. Some consequences are derived for the existence of large sets of subspace designs. Furthermore, it is shown that there is no  $q$ -analog of the large Witt design.

## 1. INTRODUCTION

**1.1. History.** Let  $V$  be a  $v$ -dimensional vector space over a finite field  $\text{GF}(q)$ . A  $t$ -( $v, k, \lambda$ ) $_q$  subspace design  $\mathcal{D} = (V, \mathcal{B})$  consists of a set  $\mathcal{B}$  of  $k$ -dimensional subspaces, called blocks, such that each  $t$ -dimensional subspace of  $V$  lies in exactly  $\lambda$  blocks. This notion is a vector space analog of ordinary set-theoretic  $t$ -designs. For that reason, subspace designs are also called  $q$ -analogs of designs.

The first reference about subspace designs appears to be [9], and the first actual subspace designs with  $t \geq 2$  have been constructed in [19]. An introduction to subspace designs can be found in [16, Day 4].

There has been a growing interest in subspace designs, recently. New subspace designs for  $t = 2$  and  $t = 3$  have been constructed in [2, 3, 8, 4]. A major success was the discovery of a  $2$ -(13, 3, 1) $_2$  subspace design in [5], which is the first  $q$ -analog of a Steiner system, and the  $q$ -analog to Teirlinck's theorem [18], stating that simple  $t$ -subspace designs exist for every value of  $t$  [11].

Based on these results, it is natural to investigate further concepts in classical set-theoretic design theory for their applicability in the  $q$ -analog case. In [13], intersection numbers for subspace designs are given. In this article, we consider the fundamental constructions of derived and residual designs.

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**1.2. Overview.** In the case of a set-theoretic  $t$ -( $v, k, \lambda$ ) design  $\mathcal{D} = (V, \mathcal{B})$  a point  $x \in V$  is fixed and the blocks fall into classes of those that contain or avoid  $x$ :

$$\begin{aligned}\text{Der}_x(\mathcal{D}) &= (V \setminus \{x\}, \{B \setminus \{x\} : x \in B \in \mathcal{B}\}) \\ \text{Res}_x(\mathcal{D}) &= (V \setminus \{x\}, \{B \in \mathcal{B} : x \notin B\})\end{aligned}$$

Here, the derived design  $\text{Der}_x(\mathcal{D})$  is a  $(t-1)$ -( $v-1, k-1, \lambda$ ) design and the residual design  $\text{Res}_x(\mathcal{D})$  is a  $(t-1)$ -( $v-1, k, \mu$ ) design, where  $\mu = \lambda \cdot \frac{v-t}{k-t+1}$ .

While the above definition of the derived design is translated directly to the  $q$ -analog case, for the translation of the residual design we will start from the equivalent description

$$\text{Res}_S(\mathcal{D}) = (S, \{B \in \mathcal{B} : B \subseteq S\})$$

where  $S$  is a  $(v-1)$ -subset of  $V$ , see Definition 4.

It is worth mentioning that not all concepts of set-theoretic design theory do have a straightforward  $q$ -analog. While the existence of a  $q$ -analog of the Fano plane is still an important unsolved problem, in Example 7 it will be shown that there is no  $q$ -analog of the large Witt design.

In set-theoretic design theory, there is a theorem found independently by Van Trung [21], van Leijenhorst [14], and Driessen [10], stating that for any two set-theoretic designs with the parameters of the derived and the residual design of some (not necessarily realizable) parameter set, there is a design with the parameters of the reduced design.<sup>1</sup> In Theorem 14, a  $q$ -analog of this theorem will be given. As an application, in Corollary 17 we get the hitherto unknown existence of subspace designs with the parameters

$$2\text{--}(8, 4, \lambda)_2 \quad \text{where} \quad \lambda \in \{63, 84, 147, 168, 189, 252, 273, 294\}$$

and

$$2\text{--}(10, 4, \lambda)_2 \quad \text{where} \quad \lambda \in \{1785, 1870, 3570, 3655, 5355\}.$$

In Corollary 20, the application of Theorem 14 yields a  $q$ -analog of [1, Lemma 4], which is a construction method for new large sets from known ones.

## 2. PRELIMINARIES

**2.1. The subspace lattice.** In the following, we fix a prime power  $q$  and a vector space  $V$  over  $\text{GF}(q)$  of finite dimension  $v$ . The lattice of all subspaces of  $V$  will be denoted by  $\mathcal{L}(V)$ . The set of all subspaces of  $V$  of a fixed dimension  $k$  is known as the *Graßmannian* and will be

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<sup>1</sup>We remark that in [21, 14, 10], the involved designs are addressed by their numerical parameters. However, there is no interpretation in terms of the derived, residual and reduced design parameters.

denoted by  $\begin{bmatrix} V \\ k \end{bmatrix}_q$ . For simplicity, its elements will be called  $k$ -subspaces. The cardinality of  $\begin{bmatrix} V \\ k \end{bmatrix}_q$  is the Gaussian binomial coefficient

$$\begin{bmatrix} v \\ k \end{bmatrix}_q = \prod_{i=0}^{k-1} \frac{q^{v-i} - 1}{q^{i+1} - 1} = \begin{cases} 0 & \text{if } k > v, \\ \frac{(q^n-1)(q^{n-1}-1)\dots(q^{n-k+1}-1)}{(q-1)(q^2-1)\dots(q^k-1)} & \text{otherwise.} \end{cases}$$

Because of

$$\lim_{q \rightarrow 1} \begin{bmatrix} v \\ k \end{bmatrix}_q = \binom{v}{k},$$

the Gaussian binomial coefficients are considered the  $q$ -analogs of the binomial coefficients, see [12]. Many identities for binomial coefficients have  $q$ -analogs for the Gaussian binomial coefficients. We make use of the following identities

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \begin{bmatrix} n \\ n-k \end{bmatrix}_q \quad \text{and} \quad \begin{bmatrix} n \\ h \end{bmatrix}_q \begin{bmatrix} n-h \\ k \end{bmatrix}_q = \begin{bmatrix} n \\ k \end{bmatrix}_q \begin{bmatrix} n-k \\ h \end{bmatrix}_q$$

and the  $q$ -Pascal triangle identities ( $n \geq 1$ )

$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}_q + q^k \begin{bmatrix} n-1 \\ k \end{bmatrix}_q = q^{n-k} \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}_q + \begin{bmatrix} n-1 \\ k \end{bmatrix}_q.$$

## 2.2. Subspace designs.

**Definition 1.** A pair  $(V, \mathcal{B})$  with  $\mathcal{B} \subseteq \begin{bmatrix} V \\ k \end{bmatrix}_q$  is called a  $t$ -( $v, k, \lambda$ ) $_q$  (subspace) design, if for each  $T \in \begin{bmatrix} V \\ t \end{bmatrix}_q$  there are exactly  $\lambda$  elements of  $\mathcal{B}$  containing  $T$ .

According to a statement of Tits [20], combinatorics on sets can be seen as the limit case  $q \rightarrow 1$  of combinatorics on vector spaces over  $\text{GF}(q)$ , see also [7]. Indeed, many statements about subspace designs become true statements about set-theoretic designs when setting  $q = 1$ , and replacing notions about vector spaces by their set-theoretic counterpart.<sup>2</sup>

The following fact is the  $q$ -analog of a well-known property of block designs, which can be easily proven by a double counting argument:

**Lemma 2** ([17, Lemma 4.1(1)]). *Let  $D$  be a  $t$ -( $v, k, \lambda$ ) $_q$  design. For each  $s \in \{0, \dots, t\}$ ,  $D$  is a  $s$ -( $v, k, \lambda_s$ ) $_q$  design with*

$$\lambda_s = \lambda \cdot \frac{\begin{bmatrix} v-s \\ t-s \end{bmatrix}_q}{\begin{bmatrix} k-s \\ t-s \end{bmatrix}_q} = \lambda \cdot \frac{\begin{bmatrix} v-s \\ k-s \end{bmatrix}_q}{\begin{bmatrix} v-t \\ k-t \end{bmatrix}_q}.$$

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<sup>2</sup>Gaussian binomial coefficients are replaced by ordinary binomial coefficients, vector spaces and subspaces are replaced by sets and subsets, the dimension is replaced by the cardinality, etc.

In particular, the number of blocks of  $D$  is

$$\lambda_0 = \lambda \cdot \frac{\begin{bmatrix} v \\ t \end{bmatrix}_q}{\begin{bmatrix} k \\ t \end{bmatrix}_q} = \lambda \cdot \frac{\begin{bmatrix} v \\ k \end{bmatrix}_q}{\begin{bmatrix} v-t \\ k-t \end{bmatrix}_q}.$$

In the situation  $s = t - 1$ , the resulting subspace design is called the *reduced* design.

For the existence of a  $t$ -( $v, k, \lambda$ ) $_q$  design, necessarily the *integrality conditions*  $\lambda_s \in \mathbb{Z}$  must be satisfied for all  $s \in \{0, \dots, t\}$ . If this is the case, we call the parameter set  $t$ -( $v, k, \lambda$ ) $_q$  *admissible*, without requiring that the parameter set is *realizable*, meaning that a  $t$ -( $v, k, \lambda$ ) $_q$  design actually exists.

As an example, the parameter set 2-(7, 3, 1) $_q$  ( $q$ -analog of the Fano plane) and the parameter set 5-(12, 6, 1) $_q$  ( $q$ -analog of the small Witt design) are admissible for any prime power  $q$ . However, the question for the realizability of these parameter set is open for all values of  $q$ .

**Example 3.** We consider the parameters 3-(22, 6, 1) $_q$ . Denoting the  $n$ -th cyclotomic polynomial by  $\Phi_n \in \mathbb{Z}[X]$ , the integrality conditions yield that

$$\begin{aligned} \lambda_0 &= \frac{\begin{bmatrix} 22 \\ 3 \end{bmatrix}_q}{\begin{bmatrix} 6 \\ 3 \end{bmatrix}_q} = \frac{(q^{22} - 1)(q^{21} - 1)(q^{20} - 1)}{(q^6 - 1)(q^5 - 1)(q^4 - 1)} \\ &= \frac{\Phi_{22}(q)\Phi_{21}(q)\Phi_{20}(q)\Phi_{11}(q)\Phi_{10}(q)\Phi_7(q)}{\Phi_6(q)} \end{aligned}$$

must be integral. Using the fact that for any  $x \in \mathbb{Z}$ ,  $\gcd(\Phi_a(x), \Phi_b(x)) > 1$  can only happen if  $a/b$  is the integral power of a prime, we see that  $\lambda_0 \in \mathbb{Z}$  implies  $q = 1$ . So in contrast to the set-theoretic case  $q = 1$ , for  $q \geq 2$  the parameters 3-(22, 6, 1) $_q$  are not admissible and there is no 3-(22, 6, 1) $_q$  subspace design.

Two subspace designs  $(V, \mathcal{B})$  and  $(V', \mathcal{B}')$  are called *isomorphic* if there is a lattice isomorphism  $\mathcal{L}(V) \rightarrow \mathcal{L}(V')$  mapping  $\mathcal{B}$  to  $\mathcal{B}'$ . By the fundamental theorem of projective geometry, the set of lattice isomorphisms  $V \rightarrow V'$  is given by the set of bijective semilinear mappings  $V \rightarrow V'$ . Furthermore, the group of lattice automorphisms of  $\mathcal{L}(V)$  is isomorphic to the projective semilinear group  $\text{P}\Gamma\text{L}(V)$ .

**2.3. Duality.** For an ordinary set-theoretic design  $(V, \mathcal{B})$ , the *supplementary* design is defined as

$$(V, \{V \setminus B : B \in \mathcal{B}\}).$$

It has the parameters

$$t\text{-}\left(v, v - k, \lambda \cdot \frac{\binom{v-k}{t}}{\binom{k}{t}}\right).$$

To get a  $q$ -analog of this construction, fix some non-singular bilinear form  $\beta$  on the vector space  $V$  over  $\text{GF}(q)$ . For  $U \in \mathcal{L}(V)$  we denote the *dual subspace* by

$$U^\perp = \{x \in V : \beta(x, y) = 0 \text{ for all } y \in U\}.$$

For a  $t$ -( $v, k, \lambda$ ) $_q$  design  $D = (V, \mathcal{B})$ , the *dual design* is defined as

$$D^\perp = (V, \{B^\perp : B \in \mathcal{B}\}).$$

In [17, Lemma 4.2] it was shown that  $D^\perp$  is a design with the parameters

$$t - \left( v, v - k, \lambda \cdot \frac{\begin{bmatrix} v-k \\ t \end{bmatrix}_q}{\begin{bmatrix} k \\ t \end{bmatrix}_q} \right)_q.$$

In fact, the only required property is that  $U \mapsto U^\perp$  is an antiautomorphism of the subspace lattice  $\mathcal{L}(V)$  of  $V$ . For two such antiautomorphisms  $\phi$  and  $\phi'$  the mapping  $\phi^{-1} \circ \phi'$  is an automorphism of  $\mathcal{L}(V)$ . So up to isomorphism, the dual design  $D^\perp$  does not depend on the choice of the antiautomorphism.

### 3. DERIVED AND RESIDUAL DESIGNS

**Definition 4.** Let  $D = (V, \mathcal{B})$  be a  $t$ -( $v, k, \lambda$ ) $_q$  design. For  $U \in \begin{bmatrix} V \\ 1 \end{bmatrix}_q$ , the *derived* design of  $D$  in  $U$  is defined as

$$\text{Der}_U(D) = (V/U, \{B/U : B \in \mathcal{B}, U \leq B\}).$$

For  $H \in \begin{bmatrix} V \\ v-1 \end{bmatrix}_q$ , the *residual* design of  $D$  in  $H$  is defined as

$$\text{Res}_H(D) = (H, \{B : B \in \mathcal{B}, B \leq H\}).$$

For the special case of Steiner systems, the derived design was used in [15, Th. 2]. To the authors' knowledge, the above notion of the residual design is new.

We point out that the derived subspace design is a factor design while the residual design is a subdesign of  $D$ . Of course, there are many choices for  $U$  and  $H$ , which may lead to non-isomorphic derived and residual designs. However, the design parameters are the same for all derived and all residual parameters, respectively:

**Lemma 5.** *With the notation as in Definition 4,  $\text{Der}_U(D)$  is a  $(t-1)$ -( $v-1, k-1, \lambda$ ) $_q$  design and  $\text{Res}_H(D)$  is a  $(t-1)$ -( $v-1, k, \mu$ ) $_q$  design where*

$$\mu = \lambda \cdot \frac{\begin{bmatrix} v-k \\ 1 \end{bmatrix}_q}{\begin{bmatrix} k-t+1 \\ 1 \end{bmatrix}_q} = \lambda \cdot \frac{q^{v-k} - 1}{q^{k-t+1} - 1} = \frac{\lambda_{t-1} - \lambda}{q^{k-t+1}}.$$

*Proof.* A  $t$ -subspace  $T$  that contains  $U$  lies in exactly  $\lambda$  blocks  $B \in \mathcal{B}$  that also contain  $U$ . Factoring out  $U$  yields the blocks of the claimed derived design on  $V/U$ .

For  $\text{Res}_H(D)$ , we have to count the blocks  $B \in \mathcal{B}$  with  $T \leq B \leq H$  for any  $(t-1)$ -subspace  $T$  of  $H$ . According to [16, Lemma 4.2], this number is  $\lambda \cdot \left( \frac{[v-t]_q}{[k-t+1]_q} / \frac{[v-t]_q}{[k-t]_q} \right)$ , which evaluates to the expressions given for  $\mu$ .  $\square$

**Remark 6.** (a) For  $q = 1$ , we get back the parameters of the derived and the residual design in the set-theoretic case.

(b) In the above proof, the numbers  $\mu_i^j$  of [16, Lemma 4.2] were used in the special case  $i = t-1, j = 1$ . More general that lemma says that for nonnegative integers  $i$  and  $j$  with  $i+j \leq t$  and fixed subspaces  $I \in \begin{bmatrix} V \\ i \end{bmatrix}_q, J \in \begin{bmatrix} V \\ j \end{bmatrix}_q$ , the number

$$\mu_i^j = \#\{B \in \mathcal{B} \mid I \leq B \leq J\}$$

does not depend on the choice of  $I$  and  $J$ . With the notion of reduced and residual designs, we can give the following alternative characterization: The number  $\mu_i^j$  is the parameter  $\lambda$  of any design which arises from a  $t$ -( $v, k, \lambda$ ) $_q$  design by taking  $j$  times the residual design and  $t-i-j$  times the reduced design, no matter in which order the reducing and residual steps are performed and which subspaces  $H$  are chosen for the residual steps.<sup>3</sup>

**Example 7.** The famous large Witt design with parameters 5-(24, 8, 1) does not have a  $q$ -analog for any prime power  $q \geq 2$ . Otherwise, taking the derived design twice would give a 3-(22, 6, 1) $_q$  design in contradiction to Example 3.<sup>4</sup>

**Definition 8.** Let  $t$ -( $v, k, \lambda$ ) $_q$  be a (not necessarily admissible) parameter set. We define its

- (a) *reduced parameter set*  $(t-1)$ -( $v, k, \lambda_{t-1}$ ),
- (b) *derived parameter set*  $(t-1)$ -( $v-1, k-1, \lambda$ ),
- (c) *residual parameter set*  $(t-1)$ -( $v-1, k, \lambda \cdot \frac{q^{v-k}-1}{q^{k-t+1}-1}$ ).

**Lemma 9.** Let  $t$ -( $v, k, \lambda$ ) $_q$  be a parameter set and  $s \in \{0, \dots, t-1\}$ . Denoting the  $\lambda_s$ -parameter (see Lemma 2) of the derived parameter set by  $\delta_s$  and that of the residual parameter set by  $\rho_s$ , we have

$$\lambda_s = \delta_s + q^{k-s} \rho_s = q^{v-k} \delta_s + \rho_s.$$

*Proof.* It is straightforward to check that

$$\lambda_s = \lambda \cdot \frac{\begin{bmatrix} v-s \\ k-s \end{bmatrix}_q}{\begin{bmatrix} v-t \\ k-t \end{bmatrix}_q}, \quad \delta_s = \lambda \cdot \frac{\begin{bmatrix} v-s-1 \\ k-s-1 \end{bmatrix}_q}{\begin{bmatrix} v-t \\ k-t \end{bmatrix}_q} \quad \text{and} \quad \rho_s = \lambda \cdot \frac{\begin{bmatrix} v-s-1 \\ k-s \end{bmatrix}_q}{\begin{bmatrix} v-t \\ k-t \end{bmatrix}_q}.$$

Now the claim follows from the  $q$ -Pascal triangle identities.  $\square$

<sup>3</sup>The  $i$ -fold reduced and  $j$ -fold residual is a  $(t-i-j)$ -( $v-j, k, \mu_{t-i-j}^j$ ) $_q$  design.

<sup>4</sup>Similar to Example 3, it can also be shown that the parameters 5-(24, 8, 1) $_q$  are not admissible for any prime power  $q \geq 2$ .

**Lemma 10.** *Let  $t$ -( $v, k, \lambda$ ) $_q$  be an admissible parameter set. Then its reduced, derived and residual parameter sets are admissible, too.*

*Proof.* It is clear that the reduced parameter set is admissible. With the notation as in Lemma 9,  $\delta_s = \lambda_{s+1}$  is an integer for all  $s \in \{0, \dots, t-1\}$ , so the derived parameter set is admissible. Now by  $\rho_s = \lambda_s - q^{v-k}\delta_s$ , also the residual parameter set is admissible.  $\square$

With respect to this notion of duality, the derived and the residual design are dual concepts:

**Lemma 11.** *Let  $D$  be a design on  $V$ ,  $U \in \begin{bmatrix} V \\ 1 \end{bmatrix}_q$  and  $H \in \begin{bmatrix} V \\ v-1 \end{bmatrix}_q$ . Then*

$$\text{Der}_U(D)^\perp \cong \text{Res}_{U^\perp}(D^\perp) \quad \text{and} \quad \text{Res}_H(D)^\perp \cong \text{Der}_{H^\perp}(D^\perp).$$

**Example 12.** The dual of a  $q$ -analog of the Fano plane (a  $2$ -( $7, 3, 1$ ) $_q$  design) would be a  $2$ -( $7, 4, q^2 + 1$ ) $_q$  design. These are the derived and the residual parameter sets of the parameter set  $3$ -( $8, 4, 1$ ) $_q$ . The same is true in the set-theoretic case  $q = 1$ , where we know that all the designs actually exist.

#### 4. A $q$ -ANALOG OF A THEOREM BY VAN TRUNG, VAN LEIJENHORST AND DRIESSEN

By the discussion in the previous section, the admissibility of a parameter set implies the admissibility of its derived, residual and reduced parameter sets. Realizability is propagated in the same way. In this section, we study the consequences of the derived and the residual design parameters both being admissible (resp. realizable), without requiring the original parameter set to be admissible (resp. realizable).

**Lemma 13.** *Let  $t$ -( $v, k, \lambda$ ) $_q$  be a parameter set whose derived and residual parameter sets are admissible. Then  $t$ -( $v, k, \lambda$ ) $_q$  is admissible, too.*

*Proof.* We use the notation as in the proof of Lemma 9. According to that lemma, the values  $\lambda_s$  are integers for all  $s \in \{1, \dots, t-1\}$ . Furthermore,  $\lambda_t = \delta_{t-1}$  is an integer.  $\square$

**Theorem 14.** *Let  $t$ -( $v, k, \lambda$ ) $_q$  be a parameter set whose derived and residual parameter sets are realizable. Then its reduced parameter set is realizable, too.*

*Proof.* Let  $V$  be a  $v$ -dimensional vector space over  $\text{GF}(q)$ ,  $\bar{V}$  a  $(v-1)$ -dimensional vector space over  $\text{GF}(q)$  and  $\varphi : V \rightarrow \bar{V}$  a surjective  $\text{GF}(q)$ -linear map. Then  $U = \ker(\varphi)$  is a 1-subspace of  $V$ . By the preconditions, there exists a  $(t-1)$ -( $v-1, k-1, \lambda$ ) $_q$  design  $D_{\text{Der}} = (\bar{V}, \mathcal{B}_{\text{Der}})$  and a  $(t-1)$ -( $v-1, k, \mu$ ) $_q$  design  $D_{\text{Res}} = (\bar{V}, \mathcal{B}_{\text{Der}})$  on  $\bar{V}$ , where  $\mu = \lambda \cdot \frac{q^{v-k}-1}{q^{k-t+1}-1}$ . Let

$$\mathcal{B}_1 = \{\varphi^{-1}(\bar{B}) : \bar{B} \in \mathcal{B}_{\text{Der}}\}$$

and

$$\mathcal{B}_2 = \{K : K \text{ is complement of } U \text{ in } \varphi^{-1}(\bar{B}), \bar{B} \in \mathcal{B}_{\text{Res}}\}.$$

Both sets  $\mathcal{B}_1$  and  $\mathcal{B}_2$  consist of  $k$ -subspaces of  $V$ . We remark that each block  $B = \varphi^{-1}(\bar{B}) \in \mathcal{B}_1$  is uniquely determined by  $\bar{B} \in \mathcal{B}_{\text{Der}}$ , and each block  $B \in \mathcal{B}_2$  uniquely determines its  $\bar{B} \in \mathcal{B}_{\text{Res}}$  as  $\bar{B} = \varphi(B)$ . Furthermore, the sets  $\mathcal{B}_1$  and  $\mathcal{B}_2$  are clearly disjoint, since the elements of  $\mathcal{B}_1$  contain  $U$ , while the elements of  $\mathcal{B}_2$  do not.

Now we claim that  $(V, \mathcal{B}_{\text{Red}})$  with  $\mathcal{B}_{\text{Red}} = \mathcal{B}_1 \cup \mathcal{B}_2$  is a design with the reduced parameter set  $(t-1)-(v, k, \lambda_{\text{Red}})_q$  where by Lemma 2

$$\lambda_{\text{Red}} = \lambda \cdot \frac{\begin{bmatrix} v-(t-1) \\ t-(t-1) \end{bmatrix}_q}{\begin{bmatrix} k-(t-1) \\ t-(t-1) \end{bmatrix}_q} = \lambda \cdot \frac{\begin{bmatrix} v-t+1 \\ 1 \end{bmatrix}_q}{\begin{bmatrix} k-t+1 \\ 1 \end{bmatrix}_q} = \frac{q^{v-t+1} - 1}{q^{k-t+1} - 1}.$$

For the verification, consider a  $(t-1)$ -subspace  $T$  of  $V$ . We count the blocks in  $\mathcal{B}_{\text{Red}}$  containing  $T$ .

If  $U \leq T$ , then all such blocks come from  $\mathcal{B}_1$ , and  $T \leq B$  is equivalent to  $\varphi(T) \leq \varphi(B)$ . Since the dimension of  $\varphi(T)$  in  $\bar{V}$  is  $t-2$ , the design  $\mathcal{B}_{\text{Der}}$  contains

$$\lambda \cdot \frac{\begin{bmatrix} (v-1)-(t-2) \\ (t-1)-(t-2) \end{bmatrix}_q}{\begin{bmatrix} (k-1)-(t-2) \\ (t-1)-(t-2) \end{bmatrix}_q} = \lambda_{\text{Red}}$$

blocks  $\varphi(B) \geq \varphi(T)$ , and each such block uniquely determines the preimage  $B$ .

Now assume  $U \not\leq T$ . For  $B \in \mathcal{B}_1$ ,  $T \leq B$  if and only if  $\varphi(T) \leq \varphi(B)$  and  $\varphi(B)$  is a block of  $\mathcal{B}_{\text{Der}}$ . Since  $\varphi(T)$  has dimension  $t-1$  in  $\bar{V}$ , there are  $\lambda$  such blocks. Furthermore,  $B \in \mathcal{B}_2$  passes through  $T$  if and only if  $\bar{B} = \varphi(B)$  is a block of  $\mathcal{B}_{\text{Res}}$  containing the  $(t-1)$ -dimensional subspace  $\varphi(T)$  and  $B$  is a complement of  $U$  in the  $(k+1)$ -dimensional space  $\varphi^{-1}(\bar{B})$  such that  $T \leq B$ . There are  $\mu$  such blocks  $\bar{B}$ , and considering the situation modulo  $T$ , we see that for each  $\varphi^{-1}(\bar{B})$  there are exactly  $q^{1 \cdot ((k+1)-(t-1)-1)} = q^{k-t+1}$  suitable complements  $B$ .<sup>5</sup>

So in total, there are

$$\lambda + q^{k-t+1}\mu = \lambda \cdot \left(1 + \frac{q^{v-t+1} - q^{k-t+1}}{q^{k-t+1} - 1}\right) = \lambda \cdot \frac{q^{v-t+1} - 1}{q^{k-t+1} - 1} = \lambda_{\text{Red}}$$

blocks of  $\mathcal{B}_{\text{Red}}$  passing through  $T$ . □

**Example 15.** By Theorem 14 and Example 12, the existence of a  $q$ -analog of the Fano plane (a  $2-(7, 3, 1)_q$  design) would imply the existence of a design with the reduced parameters of  $3-(8, 4, 1)_q$ , which is a  $2-(8, 4, q^4 + q^2 + 1)_q$  design.

<sup>5</sup>In general, a  $u$ -subspace  $U$  of a  $v$ -dimensional vector space  $V$  over  $\text{GF}(q)$  has exactly  $q^{u(v-u)}$  complements in  $V$ .



**Remark 16.** (a) If in Theorem 14 the parameter set  $t-(v, k, \lambda)_q$  is realizable as a design  $\mathcal{D}$ , the application of the construction to a derived and a residual design of  $\mathcal{D}$  won't necessarily reproduce  $\mathcal{D}$ .

A counterexample is given by any  $2-(13, 3, 1)_2$  Steiner system  $\mathcal{D}$  which exists by [5]. We assume that  $\mathcal{D}$  arises as  $\mathcal{B}_1 \cup \mathcal{B}_2$  by the above construction. Take two distinct blocks  $B_1, B_2 \in \mathcal{B}_2$  with  $\phi(B_1) = \phi(B_2) = \bar{B}$ . Then  $B_1$  and  $B_2$  are complements of  $U$  in  $\phi^{-1}(\bar{B})$ . So  $\dim(B_1 + B_2) \leq \dim(\phi^{-1}(\bar{B})) = 4$  and therefore  $\dim(B_1) \cap \dim(B_2) \geq 2$ , which contradicts the Steiner system property of  $\mathcal{D}$ .

(b) In the situation of Theorem 14, the parameter set  $t-(v, k, \lambda)_q$  is admissible by Lemma 13. For ordinary block designs, it is known that the parameters  $t-(v, k, \lambda)_q$  are not necessarily realizable. It is natural to conjecture the same to be true in the  $q$ -analog case. However, so far not a single admissible parameter set has been shown to be non-realizable.

**Corollary 17.** *The parameter sets*

$$2-(8, 4, \lambda)_2 \quad \text{with} \quad \lambda \in \{63, 84, 147, 168, 189, 252, 273, 294\}$$

and

$$2-(10, 4, \lambda)_2 \quad \text{with} \quad \lambda \in \{1785, 1870, 3570, 3655, 5355\}.$$

are realizable.

*Proof.* Table 1 lists in the first column certain admissible (but not known to be realizable) parameter sets  $t-(v, k, \lambda)_q$  whose derived (column 2) and residual parameter set (column 3) are known to be realizable. Now by Theorem 14, the reduced parameter set (column 4) is realizable.  $\square$

To our knowledge, all the parameter sets in Corollary 17 were not known to be realizable before.

## 5. APPLICATION TO LARGE SETS

**Definition 18.** A large set  $\text{LS}_q[N](t, k, v)$  is partition of  $\begin{bmatrix} V \\ k \end{bmatrix}_q$  into  $N$  subspace designs with the parameters  $t-(v, k, \lambda)_q$ . More precisely, it is a collection

$$\{(V, \mathcal{B}_i) : i \in \{1, \dots, N\}\}$$

of  $t-(v, k, \lambda)_q$  designs such that  $\{\mathcal{B}_i : i \in \{1, \dots, N\}\}$  is a partition of  $\begin{bmatrix} V \\ k \end{bmatrix}_q$ .

Note that the parameter  $\lambda$  does not appear in the parameter set  $\text{LS}_q[N](t, k, v)$  of a large set. This is because under the definition of a large set, the parameter  $\lambda = \begin{bmatrix} v-t \\ k-t \end{bmatrix}_q / N$  is already determined by the other parameters.

TABLE 1. Parameter sets of subspace designs

$t-(v, k, \lambda)_q$	derived	residual	reduced
$3-(8, 4, 3)_2$	$2-(7, 3, 3)_2$ [4]	$2-(7, 4, 15)_2$ [4]	$2-(8, 4, 63)_2$
$3-(8, 4, 4)_2$	$2-(7, 3, 4)_2$ [4]	$2-(7, 4, 20)_2$ [4]	$2-(8, 4, 84)_2$
$3-(8, 4, 7)_2$	$2-(7, 3, 7)_2$ [4]	$2-(7, 4, 35)_2$ [4]	$2-(8, 4, 147)_2$
$3-(8, 4, 8)_2$	$2-(7, 3, 8)_2$ [4]	$2-(7, 4, 40)_2$ [4]	$2-(8, 4, 168)_2$
$3-(8, 4, 9)_2$	$2-(7, 3, 9)_2$ [4]	$2-(7, 4, 45)_2$ [4]	$2-(8, 4, 189)_2$
$3-(8, 4, 12)_2$	$2-(7, 3, 12)_2$ [4]	$2-(7, 4, 60)_2$ [4]	$2-(8, 4, 252)_2$
$3-(8, 4, 13)_2$	$2-(7, 3, 13)_2$ [4]	$2-(7, 4, 65)_2$ [4]	$2-(8, 4, 273)_2$
$3-(8, 4, 14)_2$	$2-(7, 3, 14)_2$ [4]	$2-(7, 4, 70)_2$ [4]	$2-(8, 4, 294)_2$
$3-(10, 4, 21)_2$	$2-(9, 3, 21)_2$ [8]	$2-(9, 4, 441)_2$ [8]	$2-(10, 4, 1785)_2$
$3-(10, 4, 22)_2$	$2-(9, 3, 22)_2$ [4]	$2-(9, 4, 462)_2$ [4]	$2-(10, 4, 1870)_2$
$3-(10, 4, 42)_2$	$2-(9, 3, 42)_2$ [8]	$2-(9, 4, 882)_2$ [8]	$2-(10, 4, 3570)_2$
$3-(10, 4, 43)_2$	$2-(9, 3, 43)_2$ [4]	$2-(9, 4, 903)_2$ [4]	$2-(10, 4, 3655)_2$
$3-(10, 4, 63)_2$	$2-(9, 3, 63)_2$ [8]	$2-(9, 4, 1323)_2$ [8]	$2-(10, 4, 5355)_2$

By the properties of the dual design it is clear that the duals of the designs in a large set again form a large set. So the existence of an  $\text{LS}_q[N](t, k, v)$  is equivalent to the existence of an  $\text{LS}_q[N](t, v - k, v)$ , see also [6]. From the discussion of the derived and residual designs above we obtain the following result.

**Corollary 19.** *If there exists an  $\text{LS}_q[N](t, k, v)$  with  $t \geq 1$ , then there also exists an  $\text{LS}_q[N](t - 1, k - 1, v - 1)$  and an  $\text{LS}_q[N](t - 1, k, v - 1)$ .*

*Proof.* If the given large set is defined on the vector space  $V$  then we fix a 1-dimensional subspace  $U$  to form the derived designs on  $V/U$ . These form the claimed  $\text{LS}_q[N](t - 1, k - 1, v - 1)$ . If we fix a subspace  $H$  of codimension 1 in  $V$  then the residual designs of the given large set on  $H$  form the claimed  $\text{LS}_q[N](t - 1, k, v - 1)$ .  $\square$

The construction in Theorem 14 can be used for the construction of large sets.

**Corollary 20** ( $q$ -analog of [1, Lemma 4]). *If there exists an  $\text{LS}_q[N](t, k - 1, v - 1)$  and an  $\text{LS}_q[N](t, k, v - 1)$  then there exists an  $\text{LS}_q[N](t, k, v)$ .*

*Proof.* Let  $V$  be a  $v$ -dimensional vector space over  $\text{GF}(q)$ ,  $\bar{V}$  a  $(v - 1)$ -dimensional vector space over  $\text{GF}(q)$  and  $\varphi : V \rightarrow \bar{V}$  a surjective  $\text{GF}(q)$ -linear map. On  $\bar{V}$ , let  $\{D_{\text{Der}}^{(1)}, \dots, D_{\text{Der}}^{(N)}\}$  be an  $\text{LS}_q[N](t, k - 1, v - 1)$  and  $\{D_{\text{Res}}^{(1)}, \dots, D_{\text{Res}}^{(N)}\}$  an  $\text{LS}_q[N](t, k, v - 1)$ . As in the proof of Theorem 14, for any  $i \in \{1, \dots, n\}$  we use the mapping  $\varphi$  to combine the two subspace designs  $D_{\text{Der}}^{(i)}$  and  $D_{\text{Res}}^{(i)}$  into a  $t-(v, k, \lambda_{\text{Red}})_q$  subspace design  $D_{\text{Red}}^{(i)}$  on  $V$ . Clearly, the block sets of the designs  $D_{\text{Red}}^{(i)}$  form a partition of  $\begin{bmatrix} V \\ k \end{bmatrix}_q$ .  $\square$

For  $t \geq 2$  no such combinable pairs of large sets have been found so far. There are  $\text{LS}_2[3](2, 3, 8)$  and  $\text{LS}_2[3](2, 5, 8)$ , see [6]. If an  $\text{LS}_2[3](2, 4, 8)$  could be found then Corollary 20 would imply the existence of large sets with the parameters  $\text{LS}_2[3](2, 4, 9)$ ,  $\text{LS}_2[3](2, 5, 9)$  and  $\text{LS}_2[3](2, 5, 10)$ .

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